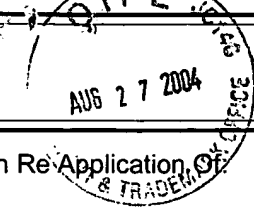
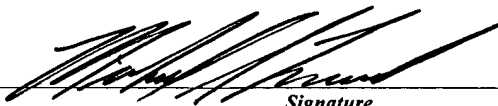



JSW

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| <div></div> <div>TRANSMITTAL LETTER (General - Patent Pending)</div> | | | | Docket No. 114726-005 | |
| In Re Application Of: Arai, A., et al. | | | | | |
| Application No. 10/637,195 | Filing Date August 8, 2003 | Examiner James R. Brittain | Customer No. 24573 | Group Art Unit 3677 | Confirmation No. 4106 |
| Title: PULL TAB OF SLIDE FASTENER SLIDER AND METHOD OF MANUFACTURING THE SAME | | | | | |
| <p style="text-align: center;"><u>COMMISSIONER FOR PATENTS:</u></p> <p>Transmitted herewith is:</p> <p>Transmittal of Information Disclosure Statement (duplicate); Statement Under 37 CFR 1.97(e) Accompanying Information Disclosure Statement (1 pg.); Information Disclosure Statement (2 pgs.); PTO Form 1449 (1 pg.); Three References; One English language abstract; Copy of European Search Report (3 pgs.); return receipt postcard.</p> <p>in the above identified application.</p> <p><input checked="" type="checkbox"/> No additional fee is required.</p> <p><input type="checkbox"/> A check in the amount of _____ is attached.</p> <p><input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below.</p> <p><input type="checkbox"/> Charge the amount of _____</p> <p><input checked="" type="checkbox"/> Credit any overpayment.</p> <p><input checked="" type="checkbox"/> Charge any additional fee required.</p> <div style="margin-top: 20px;"> _____ Signature</div> <div style="margin-top: 20px;">Dated: August 24, 2004</div> <div style="margin-top: 20px;">Michael S. Leonard (Reg. No. 37,557) Bell, Boyd & Lloyd LLC P.O. Box 1135 Chicago, Illinois 60690-1135 Tel: 312/807-4270 Fax: 312/827-8185</div> <div style="border: 1px solid black; padding: 5px; margin-top: 20px;"><p>I certify that this document and fee is being deposited on 08/24/04 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</p><div style="text-align: center;"> _____ Signature of Person Mailing Correspondence</div><div style="text-align: center;">Renee Street _____ Typed or Printed Name of Person Mailing Correspondence</div></div> | | | | | |
| CC: | | | | | |

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
114726-005

In Re Application Of: **Arai, A., et al.**

| Application No. | Filing Date | Examiner | Customer No. | Group Art Unit | Confirmation No. |
|-------------------|-----------------------|--------------------------|--------------|----------------|------------------|
| 10/637,195 | August 8, 2003 | James R. Brittain | 24573 | 3677 | 4106 |

Title: **PULL TAB OF SLIDE FASTENER SLIDER AND METHOD OF MANUFACTURING THE SAME**



Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
114726-005

In Re Application: Arai, A., et al.

AUG 27 2004

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|-----------------|----------------|-------------------|--------------|----------------|------------------|
| Application No. | Filing Date | Examiner | Customer No. | Group Art Unit | Confirmation No. |
| 10/637,195 | August 8, 2003 | James R. Brittain | 24573 | 3677 | 4106 |

PULL TAB OF SLIDE FASTENER SLIDER AND METHOD OF MANUFACTURING THE SAME

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **02-1818** as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

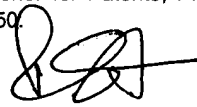
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on **08/24/04** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Signature of Person Mailing Correspondence

Renee Street

Typed or Printed Name of Person Mailing Certificate

***This certificate may only be used if paying by deposit account.**


Signature

Michael S. Leonard (Reg. No. 37,557)

Bell, Boyd & Lloyd LLC

P.O. Box 1135

Chicago, Illinois 60690-1135

Tel: 312/807-4270

Fax: 312/827-8185

Dated: August 24, 2004

CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
114726-005

In Re Application Of: Arai, A., et al.

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|-------------------------------|-------------------------------|-------------------------------|-----------------------|------------------------|--------------------------|
| Application No. 10/637,195 | Filing Date August 8, 2003 | Examiner James R. Brittain | Customer No. 24573 | Group Art Unit 3677 | Confirmation No. 4106 |
|-------------------------------|-------------------------------|-------------------------------|-----------------------|------------------------|--------------------------|

Invention: **PULL TAB OF SLIDE FASTENER SLIDER AND METHOD OF MANUFACTURING THE SAME**



COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


Signature

Dated: August 24, 2004

Michael S. Leonard (Reg. No. 37,557)
Bell, Boyd & Lloyd LLC
P.O. Box 1135
Chicago, Illinois 60690-1135
Tel: 312/807-4270
Fax: 312/827-8185

Certificate of Mailing by First Class Mail

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Commissioner for Patents, P.O. Box 1450, Alexandria, VA
22313-1450.

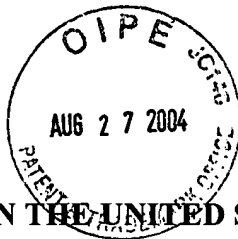


Signature of Person Mailing Correspondence

Renee Street

Typed or Printed Name of Person Mailing Correspondence

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Arai, A., et al.
Appl. No.: 10/637,195
Conf. No.: 4106
Filed: August 8, 2003
Title: PULL TAB OF SLIDE FASTENER SLIDER AND METHOD OF
MANUFACTURING THE SAME
Art Unit: 3677
Examiner: James R. Brittain
Docket No.: 114726-005

Mail Stop
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, be made during the course of examination of the above-identified application for United States patent. Pursuant to the Official Gazette Notice dated August 5, 2003, copies of the cited U.S. patents and patent applications are not included as this application was filed after June 30, 2003. However, copies of all other cited references are included with this form.

U.S. PATENT DOCUMENTS

| <u>Document No.</u> | <u>Date</u> | <u>Inventor</u> |
|---------------------|---------------|-----------------|
| 4,704,770 | Nov. 10, 1987 | Minami et al. |

FOREIGN PATENT DOCUMENTS

| <u>Document No.</u> | <u>Date</u> | <u>Country</u> |
|---------------------|---------------|----------------|
| 643 718 | June 29, 1984 | Switzerland |
| 0 369 258 A1 | May 23, 1990 | Europe |
| 0 369 438 A1 | May 23, 1990 | Europe |

CH 643 718 cited above is not in the English language. An English language abstract is included for this reference. All of the cited references were cited in a European search report for a corresponding European application. A copy of the European Search Report is enclosed. US '770 and EP '438 have also been cited by the Examiner in the present application.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY

A handwritten signature in black ink, appearing to read "Michael S. Leonard", is written over a horizontal line.

Michael S. Leonard

Reg. No. 37,557

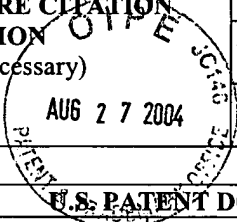
P.O. Box 1135

Chicago, Illinois 60690-1135

Phone: (312) 807-4270

Dated: August 24, 2004

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| INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) PTO Form 1449 | Atty Docket No. 114726-005 | Application No. 10/637,195 |
| | Applicant Arai, A., et al. | |
| | Filing Date August 8, 2003 | Group 3677 |



| U.S. PATENT DOCUMENTS | | | | | | | Filing Date If Appropriate |
|------------------------|--|--------------------|---------------------|---------------|-------|----------|----------------------------------|
| Examiner's Initials | | Document Number | Publication Date | Inventor | Class | Subclass | |
| | | 4,704,770 | 11/10/87 | Minami et al. | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | |
|--------------------------|--|--------------------|---------------------|-------------|-------|----------|-------------|----|
| Examiner's Initials | | Document Number | Publication Date | Country | Class | Subclass | Translation | |
| | | | | | | | Yes | No |
| | | 643 718 | 06/29/84 | Switzerland | | | | |
| | | 0 369 258 A1 | 05/23/90 | Europe | | | | |
| | | 0 369 438 A1 | 05/23/90 | Europe | | | | |
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| Examiner's Initials | | OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) |
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| Examiner: | Date Considered: |
| *Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |